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In Re Patent A	pplication of: <u>Zimmer et al</u>						
Application No	.: <u>10/7</u> 86,284	Confirmation No.: 4008					
Filed: February 25, 2004		Art Unit: 2136					
For: <u>SYSTE</u>	M AND METHOD TO	SUPPORT PLATFORM FIRMWARE AS A					
TRUSTED PROCESS							
Enclosed are the following documents:  Comments on Statement of Reasons for Allowance under  M.P.E.P.§ 1302.14(V)- <i>(3 pages)</i>							
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Attorney Docket No.: 42P18501

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	Application of:		
	Zimmer et al	) Examiner:	Johnson, Carlton
Application No.: 10/786,284		Art Unit:	2136
Filed	l: February 25, 2004		
For:	SYSTEM AND METHOD TO SUPPORT PLATFORM FIRMWARE AS A TRUSTED PROCESS		

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE UNDER M.P.E.P § 1302.14(V)

### Sir/Madam:

In response to the Notice of Allowance mailed August 10, 2007, Applicants respectfully request the Examiner to enter the following comments on the Examiner's statement of reasons for allowance into the record.

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Examiner: Johnson, Carlton Art Unit: 2136

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#### COMMENTS

Claims 1-20 and 23-28 remain pending in the instant application. Claims 1-20 and 23-28 are currently allowed. Entry of these comments into the record is respectfully requested.

The Notice of Allowance mailed August 10, 2007 states that "[t]he current prior art discloses the capability to measure a current portion of firmware during a pre-boot phase of a computer system operation." Applicants respectfully disagree.

First, the statement reproduced above does not cite to any specific reference, only that "the current prior art" discloses what is asserted. The Notice of Allowance does not make clear which specific references are being cited. Thus, the statement is vague and is without support.

Second, assuming that the statement is referring to the cited references of the February 14, 2007 Office Action, Applicants assert none of these reference, either singularly or in combination disclose, teach, or suggest each and every element of the claims. For example, Claims 1-20 and 23-28 were rejected in the February 14, 2007 Office Action under 35 U.S.C. § 103(a) as being unpatentable over Chen et al. (US 7,069,439) in view of various combinations of Gulick et al. (US 7,065,654) and Challener (US 2005/0138393). Applicants respectfully assert that these cited references fail to disclose, teach or suggest the expressly recited element of "...measuring a portion of a current set of firmware components during an operating system (OS)-runtime phase of the computer system...", as is more fully explained below.

Chen discloses generally a computing apparatus using secure authentication arrangements. In particular, Chen discloses a security control policy using a logon smart card. Chen, col. 3, line 46. FIG. 7 and associated text of Chen discloses a process of mutually authenticating a logon smart card and a host platform during an OS runtime. Chen discloses steps 700-775 which include a user inserting a logon smart card, transmitting a nonce, verifying certificates, and either granting or denying access to the host platform. Nowhere in the process of FIG. 7, or elsewhere in the patent, does Chen disclose measuring a portion of firmware during an OS-runtime phase of a computer system.

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Examiner: Johnson, Carlton

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To be sure, Chen does disclose acquiring an integrity metric of the computing platform (e.g., firmware). Chen, col. 7, lines 14-26. However, Chen discloses that the integrity metric is acquired during a secure boot process. That is, before the operating system is loaded. Thus, Chen discloses acquiring an integrity metric of firmware BEFORE the operating system is loaded, not DURING an os-runtime phase, as expressly recited by Applicants.

The Office Action mailed 02/14/07 cites to col. 6, lines 34-42 of Chen to allegedly show Applicants' claimed element of measuring a portion of a current set of firmware components during an OS-runtime phase of the computer system. See Office Action, page 17. Applicants respectfully disagree. Instead, this cited portion of Chen simply recites that after the BIOS program is executed, control is handed over to the OS, not that a portion of firmware components are measured during an OS-runtime, as claimed by Applicants.

Neither Gulick, nor Challener cure the deficiencies of Chen. Thus, the cited references fail to disclose each and every element of claims.

### CONCLUSION

However, despite the above, Applicants will allow the present application with the claims as presented by the Examiner's amendment of August 10, 2007, to issue in the interest of expediting a timely issuance of the subject application. The Examiner is invited to telephone the undersigned representative at (206) 292-8600 if the Examiner believes that an interview might be useful for any reason.

Respectfully submitted,

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